

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Adkins et al.**Serial No.: **Not Assigned**

Filed: \_\_\_\_\_

For: **Method and Apparatus for File  
System Snapshot Persistence**

§

§ Group Art Unit: **Not Assigned**

§

§ Examiner: **Not Assigned**

§

§ Attorney Docket No.: **AUS920031018US1**

§

§

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

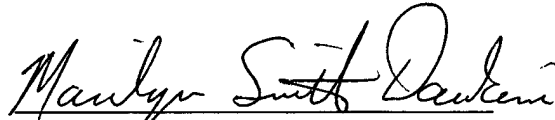
Please charge any fees necessary for prosecution of the present application to Deposit Account No. 09-0447. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 09-0447.

In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee is required.

Date: Feb. 9, 2004

Respectfully submitted,

A handwritten signature in cursive script, reading "Marilyn Smith Dawkins", written over a horizontal line.

Marilyn Smith Dawkins

*Registration No. 31,140*

IBM Corporation

Intellectual Property Law

11400 Burnet Road

Austin, Texas 78757

(512) 823-0094

<b>Form PTO-1449</b>  <b>LIST OF PRIOR ART CITED BY APPLICANT</b> <i>(Use several sheets if necessary)</i>			ATTORNEY DOCKET NO. <b>AUS920031018US1</b>		SERIAL NO. <b>Not Assigned</b>	
			APPLICANT <b>Adkins et al.</b>			
			FILING DATE		GROUP ART UNIT <b>Not Assigned</b>	

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/SUBCLASS	FILING DATE	
	AA	6,038,639	Mar. 14, 2000	O'Brien et al.	711/114	Sep. 9, 1997
	AB	5,819,292	Oct. 6, 1998	Hitz et al.	707/203	May 31, 1995
	AC	5,369,757	Nov. 29, 1994	Spiro et al.	395/575	Jun. 18, 1991
	AD	6,496,944 B1	Dec. 17, 2002	Hsiao et al.	714/15	Oct. 6, 1999
	AE	6,205,558 B1	Mar. 20, 2001	Sobel	714/15	Oct. 7, 1998
	AF	6,490,690 B1	Dec. 3, 2002	Gusler et al.	714/4	Jul. 22, 1999
	AG	5,854,896	Dec. 29, 1998	Brenner et al.	395/200.51	Jun. 17, 1996
	AH	6,564,235 B1	May 13, 2003	Otsuka et al.	707/204	May 25, 1999
	AI	6,205,527 B1	Mar. 20, 2001	Goshey et al.	711/162	Jul. 6, 1998
	AJ	5,713,024	Jan. 27, 1998	Halladay	395/712	Nov. 8, 1995
	AK	5,924,102	Jul. 13, 1999	Perks	707/200	May 7, 1997
	AL	6,584,583 B2	Jun. 24, 2003	Nam	714/42	Dec. 23, 1998
	AM	6,477,629 B1	Nov. 5, 2002	Goshey et al.	711/162	Nov. 17, 2000
	AN	5,978,565	Nov. 2, 1999	Ohran et al.	395/182.11	Apr. 28, 1997

FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/SUBCLASS	TRANSLATION YES NO	
	AO	WO 98/49626	Nov. 5, 1998	WIPO	G06F 13/00	X

OTHER PRIOR ART (including author, title, date, pertinent page, etc.)						

RELATED PATENT APPLICATIONS					
EXAMINER INITIAL	APPLICATION NO./ ATTY. DOCKET NO.	APPLICANT	TITLE	FILING DATE	

DATE CONSIDERED	EXAMINER
-----------------	----------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.